

**INFORMATION DISCLOSURE STATEMENT**

Applicant	: Gunn III et al
App. No	: 10/776,475
Filed	: February 10, 2004
For	: OPTICAL WAVEGUIDE GRATING COUPLER
Examiner	: Daniel J. Petkovsek
Art Unit	: 2874

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 17 references to be considered by the Examiner. Also enclosed is 1 item of non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed with an RCE and no fee is required.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 2/20/07

By: 

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Application No.	10/776,475
	Filing Date	February 10, 2004
	First Named Inventor	Lawrence C Gunn III
	Art Unit	2874
(Multiple sheets used when necessary)	Examiner	Daniel J. Petkovsek
SHEET 1 OF 1	Attorney Docket No.	LUXTRA.031A

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	5,208,800	05/1993	Isobe et al.	
	2	5,420,947	05/1995	Li et al.	
	3	5,621,715	04/1997	Ohyama	
	4	5,625,729	04/1997	Brown	
	5	5,835,643	11/1998	Fukumoto et al	
	6	6,259,841	07/2001	Bhagavatula	
	7	6,640,034	10/2003	Charlton et al.	
	8	6,775,427	08/2004	Evan	
	9	6,778,847	09/2004	Paddon et al.	
	10	7,006,732	02/2006	Gunn	
	11	2002/0008215	01/2002	Evans	
	12	2002/0146193	10/2002	Hamada	
	13	2003/0118306	06/2003	Deliwala	
	14	2003/0228096	12/2003	Parker et al.	
	15	2003/0235370	12/2003	Taillaert et al.	
	16	2006/0280405	12/2006	Gunn III et al.	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	17	S.J. SHEARD, MING LI, TING DI LIAO, <i>Waveguide Grating Couplers</i> , Department of Engineering Science, Oxford University, Parks Road, Oxford OX1 3PJ United Kingdom, nine pages.	

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Examiner Signature	Date Considered
<b>*Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.